

# Convex and Concave Curves

On p.87, we briefly mentioned the third type of stationary point — the mythical “point of inflection”. But before we can get to those, I should introduce you to my good friends Convex and Concave Curves (they’re siblings, by the way).

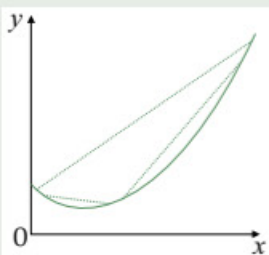
## Curves can be Convex or Concave

Convex and concave are some handy words that you can use to describe the **shape** of a graph. They can be used for the **whole graph**, or just **parts** of it. It can be easy to forget which is which, so I’d pay close attention here.

### Convex

**Convex curves** are ones that curve **downwards**.

A straight line joining any two points on the curve will be **above** the curve.

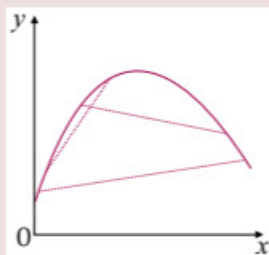


A curve is **convex** when  $f''(x) > 0$   
i.e. when its **gradient is increasing**.

### Concave

**Concave curves** are ones that curve **upwards**.

A straight line joining any two points on the curve will be **below** the curve.



A curve is **concave** when  $f''(x) < 0$   
i.e. when its **gradient is decreasing**.

**Example:** Find the range of  $x$ -values for which the graph of  $y = 2x^3 - x^2 + 5$  is concave.

Start by finding the **second derivative**:  $y = 2x^3 - x^2 + 5$

$$\frac{dy}{dx} = 6x^2 - 2x$$

$$\frac{d^2y}{dx^2} = 12x - 2$$

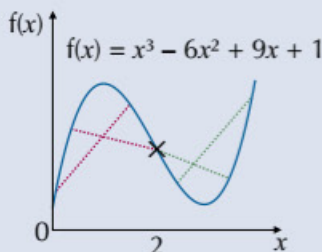
The graph is concave when the second derivative is **negative**:

$$\frac{d^2y}{dx^2} < 0 \Rightarrow 12x - 2 < 0 \Rightarrow 12x < 2 \Rightarrow x < \frac{1}{6}$$

If you're having trouble remembering which is which, here's a handy tip: concave is the one that looks like the entrance to a cave.

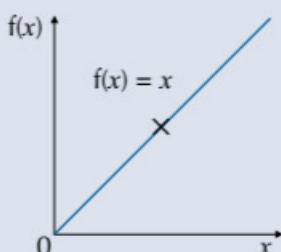
## Points of Inflection occur when the curve Changes from one to the other

A point where the curve **changes** between concave and convex (i.e. where  $f''(x)$  changes between positive and negative) is called a **point of inflection**. At a point of inflection,  $f''(x) = 0$  — but not all points where  $f''(x) = 0$  are points of inflection. You need to look what's happening on **either side** of the point to see if the **sign** of  $f''(x)$  is changing.



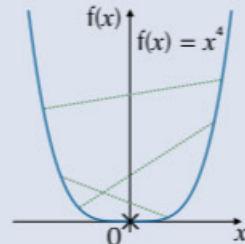
$f''(x) = 6x - 12$ , so  $f''(x) = 0$  when  $x = 2$   
 $f''(x) < 0$  for  $x < 2$  and  $f''(x) > 0$  for  $x > 2$

The curve changes from **concave** to **convex** at this point, so there is a **point of inflection** at  $x = 2$ .



$f''(x) = 0$  for all  $x$

The curve is **never** concave or convex, so there are **no** points of inflection.



$f''(x) = 12x^2$ , so  
 $f''(x) = 0$  when  $x = 0$   
but  $f''(x) \geq 0$  for all  $x$

The whole curve is **convex**, so  $(0, 0)$  is **not** a point of inflection.

# Convex and Concave Curves

## Points of Inflection can also be Stationary Points

If you have a point of inflection that also happens to be a stationary point (i.e.  $f'(x) = 0$  as well), then you've got yourself what's called a **stationary point of inflection**. And the award for 'Least Imaginative Name' goes to...

**Example:** Show that the graph of  $y = x^3 - 9x^2 + 27x - 26$  has a stationary point of inflection at  $x = 3$ .

To show that  $x = 3$  is a stationary point of inflection, you need to show **three** things:

- 1) the first derivative is **zero** at  $x = 3$ ,
  - 2) the second derivative is **zero** at  $x = 3$ ,
  - 3) the second derivative **changes sign** either side of  $x = 3$ .
- i.e. the curve changes between convex and concave at this point.

So start by **differentiating** the function **twice**:  $\frac{dy}{dx} = 3x^2 - 18x + 27$  and  $\frac{d^2y}{dx^2} = 6x - 18$

- 1) When  $x = 3$ ,  $\frac{dy}{dx} = 3(3)^2 - 18(3) + 27 = 27 - 54 + 27 = 0$  — so there is a **stationary point** at  $x = 3$ .
- 2) When  $x = 3$ ,  $\frac{d^2y}{dx^2} = 6(3) - 18 = 18 - 18 = 0$ .
- 3) When  $x > 3$ ,  $\frac{d^2y}{dx^2} > 0$ , and when  $x < 3$ ,  $\frac{d^2y}{dx^2} < 0$  — so there is a **point of inflection** at  $x = 3$ .

So the graph has a **stationary point of inflection at  $x = 3$** , as required.

**Example:** Find all of the stationary points of  $f(x) = 3x^5 - 5x^3$ , and determine their nature.

Start by finding the stationary points:

$f'(x) = 15x^4 - 15x^2 = 15x^2(x^2 - 1)$ , so  $f'(x) = 0$  when  $x^2 = 0$  or when  $(x^2 - 1) = 0$ , i.e. when  $x = 0$  or  $x = \pm 1$   
 $f(0) = 0$ ,  $f(1) = -2$  and  $f(-1) = 2$ , so the stationary points are at **(0, 0)**, **(1, -2)** and **(-1, 2)**.

Find the value of  $f''(x)$  to determine their nature:

$f''(x) = 60x^3 - 30x = 30x(2x^2 - 1)$     When  $x = -1$ ,  $f''(x) = -30 < 0$ , so **(-1, 2) is a maximum**  
When  $x = 1$ ,  $f''(x) = 30 > 0$ , so **(1, -2) is a minimum**  
When  $x = 0$ ,  $f''(x) = 0$ , so check  $f''(x)$  on either side of 0.

When  $x$  is small and positive,  $30x$  is positive and  $(2x^2 - 1)$  is negative, so  $f''(x)$  is **negative**.

When  $x$  is small and negative,  $30x$  is negative and  $(2x^2 - 1)$  is negative, so  $f''(x)$  is **positive**.

So  $f''(x)$  changes sign either side of 0, i.e.  $f(x)$  changes from convex to concave, meaning that **(0, 0) is a stationary point of inflection**.

## Practice Questions

Q1 For each of the following intervals, determine whether  $y = x^5 - 2x^4 - 3x + 2$  is concave over the whole interval, convex over the whole interval, or has a point of inflection in the interval:

- a)  $-1 < x < 0$ ,      b)  $0 < x < 1$ ,      c)  $1 < x < 2$ ,      d)  $2 < x < 3$ .

Q2 The graph of  $y = 2x^3 - x^4$  has two points of inflection, one of which is a stationary point of inflection. Find the coordinates of both and determine which one is the stationary point of inflection.

## Exam Questions

Q1 Show that  $f(x) = 2x^6 - 6x^5 + 5x^4$  has no points of inflection. [5 marks]

Q2 For  $f(x) = x^4 + \frac{5}{3}x^3 + x^2 - 2x$ , find the ranges of  $x$  for which  $f(x)$  is concave and convex. [5 marks]

Q3 Find any stationary points of the curve  $y = 4 - 2x^2 + \frac{4}{3}x^3 - \frac{1}{4}x^4$ , and determine their nature. [9 marks]

## Cases of convex/concave confusion cause crying in classrooms...

I hope you enjoyed that, because that's the last mention of graphs for a while now. Be extra careful with those 'stationary point of inflection' questions — it's not enough to show that  $f'(x)$  and  $f''(x)$  are zero, you also need to show that  $f''(x)$  changes sign at the point. I always forgot that bit, and it got me into a whole world of trouble — turns out polishing derivatives is really dull. What I'm trying to say is: don't repeat my mistakes — check for a change of sign.